



U.S. Securities & Exchange Commission

Vacancy Announcement

Job Title	Attorney-Adviser
Location	Washington, DC; New York, NY
Compensation	\$147,554 to \$246,900 / Per Year
Series & Grade	SK-16
Position Number	19-EX-10525983-CMH
Application Deadline	July 12, 2019

Summary

The Division of Investment Management (IM) regulates investment companies, variable insurance products, and federally registered investment advisers. Types of investment companies include mutual funds, closed-end funds, unit investment trusts, and exchange-traded funds. IM works to support the SEC in its mission to protect investors, maintain fair, orderly, and efficient markets, and facilitate capital formation.

Responsibilities

- Serves as an expert adviser and consultant for the Division of Investment Management with regard to sensitive and complex issues dealing with the operation, management, and regulation of either MMFs (or other investment products that employ fixed income strategies), or BDCs (or entities similar to BDCs, such as private equity funds).
- Designs and implements analytical reports about and monitoring programs for the asset management industry, particularly related to either MMFs (or other investment products that employ fixed income strategies), or BDCs (or entities similar to BDCs, such as private equity funds).
- Reviews and analyzes data regarding the asset management industry-particularly in the context of MMFs (or other investment products that employ fixed income strategies), or BDCs (or entities similar to BDCs, such as private equity funds)-and reports to senior management on developing risks and related regulatory issues.

Qualifications

SK-16: Applicant must have **4 years** of post J.D. work experience as a practicing attorney, **3 years** of which includes:

- Dealing with the financial, operational, accounting, and/or legal principles relating to Money Market Funds (MMFs) (or other investment products that employ fixed income strategies), including dealing with portfolio management activities, risk evaluation and analysis, and/or back office operations OR analyzing contemporary trends, or legal or regulatory requirements relative to MMFs (or similar entities or investment products that employ fixed income strategies); **OR**
- Dealing with the financial, operational, accounting, and/or legal principles relating to Business Development Companies (BDCs) (or similar entities such as private equity funds), including dealing with portfolio management activities, risk evaluation and analysis, and/or back office operations; OR analyzing contemporary trends, or legal or regulatory requirements relative to BDCs (or similar entities such as private equity funds).

To Apply

To learn more about this employment opportunity and to apply online, please visit <https://go.usa.gov/xyxmR>
For assistance, please contact the Office of Minority and Women Inclusion at (202) 551-6046 or omwi@sec.gov.

The SEC is an equal opportunity employer