



U.S. Securities & Exchange Commission Vacancy Announcement

Job Title	Attorney-Adviser
Location	Washington, DC
Compensation	\$112,524 to \$212,478 / Per Year
Series & Grade	SK-13/14
Position Number	19-EX-10508291-CMH
Application Deadline	June 14, 2019

Summary

The Division of Investment Management (IM) works to support the SEC in its mission to protect investors, maintain fair, orderly, and efficient markets, and facilitate capital formation. The Division of Investment Management's is seeking to fill multiple Attorney-Adviser positions in the Division's offices of the Chief Counsel, Rulemaking and Disclosure Review and Accounting.

Responsibilities

- Prepare recommendations for rulemaking under the Investment Company Act of 1940 and Investment Advisors Act of 1940 to help protect investors, promote informed investment decisions, and facilitate appropriate innovation in investment products and services. Prepare legal documents including draft releases explaining proposed and final rulemaking initiatives. Prepare Congressional testimony and provide technical assistance to Congressional staff on matters related to investment companies and investment advisers.
- Research, analyze and develop rule text to implement regulatory initiatives. Review, analyze and provide comments on investment company and insurance company registration statements, investment company merger documents, proxy materials, tender offers and other filings from the standpoint of full disclosure and compliance with applicable form and other regulatory requirements. Analyze legislation and its potential impact on the regulation of investment companies and investment advisers. Research and analyze the legal issues raised by the matters reviewed and draft substantive legal documents including comments on recommendation memos.
- Provide formal and informal guidance to internal and external stakeholders relating to issues under the Investment Company Act, the Investment Advisors Act, and other laws and regulations. Respond to public inquiries; provide legal and interpretive guidance on investigations and proposed enforcement actions, particularly with respect to the Investment Company Act and the Investment Advisors Act.

Qualifications

SK-13: Applicant must have **two year(s)** of post J.D. work experience as a practicing attorney, **one year** of which includes: providing legal interpretation of federal securities laws, statutes, rules, and regulations, particularly those related to Investment Company Act, Investment Advisors Act, Securities Act, and Securities Exchange Act.

SK-14: Applicant must have **three year(s)** of post J.D. work experience as a practicing attorney, **two year(s)** of which includes: providing legal interpretation of federal securities laws, statutes, rules, and regulations, particularly those related to Investment Company Act, Investment Advisors Act, Securities Act, and Securities Exchange Act; and conducting reviews and analysis on issues to assure legal adequacy, sufficiency and soundness of action recommended or taken.

To Apply

To learn more about this employment opportunity and to apply online, please visit <https://go.usa.gov/xmMqP>. For assistance, please contact the Office of Minority and Women Inclusion at (202) 551-6046 or omwi@sec.gov.

The SEC is an equal opportunity employer